

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Group Art Unit: Unknown

Kurt Stark et al.

Examiner: Unknown

Serial No.: 10/598,650

Filed: September 7, 2006

For: METHOD FOR PRODUCTION OF MIXED POLYMERS  
CONTAINING SILICON

Attorney Docket No.: WAS 0802 PUSA

INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. § 1.97(b)

Mail Stop Amendment  
 Commissioner for Patents  
 U.S. Patent & Trademark Office  
 P.O. Box 1450  
 Alexandria, VA 22313-1450

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and §§ 1.97-1.98, the references listed and identified on the attached Forms PTO/SB08A and/or SB08B are being submitted herewith for consideration by the Examiner. This statement is being filed in accordance with 37 C.F.R. § 1.97(b).

While this Statement is being filed in compliance with the duty of disclosure, citation of the listed references is not to be construed as an admission that any of the references are "material" as defined under 37 C.F.R. § 1.56(b).

## CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8 (FIRST CLASS MAIL)

I hereby certify that this paper, including all enclosures referred to herein, is being deposited with the United States Postal Service as first-class mail, postage pre-paid, in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, U.S. Patent & Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450 on:

3/22/2007

Date of Deposit

William G. Conger  
Name of Person Signing

Signature

S/N: 10/598,650

Atty Dkt No. WAS 0802 PUSA

Accompanying the Information Disclosure Statement is the statement of Dr. Michael Schuderer describing the content of the references cited in the Information Disclosure Statement.

No copies of the listed U.S. patent references or the listed U.S. patent application publication references have been included herewith pursuant to 37 C.F.R. § 1.98(a)(2). All other references have been provided as required. Consideration and entry into the record of the listed references is respectfully requested.

Respectfully submitted,

**Kurt Stark et al.**

By:

  
William G. Conger  
Reg. No. 31,209  
Attorney/Agent for Applicant

Date: March 22, 2007

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Receipt date: 03/26/2007

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PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE  
U.S. GOVERNMENT PRINTING OFFICE: 2006 500-104 (GPO:2006-07-14)

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**Substitute for form 1449/PTO**

## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

Sheet 1 of 1

**Complete if Known**

Application Number	10/598,650
Filing Date	09/07/2006
First Named Inventor	Kurt Stark et al.
Art Unit	
Examiner Name	
Attorney Docket Number	WAS 0802 PUSA

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U. S. PATENT DOCUMENTS

## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
		JP 05-009248 A	01/19/1993	Toagosei Chem.		
		JP 05-140255 A	06/08/1993	Toagosei Chem.		
		EP 1308468 A	05/07/2003	Wacker Polymer Syst.		
		EP 0810243 A	12/03/1997	Toyo Ink		
		EP 0771826 A2	05/07/1997	Dow Corning		
		EP 0614924 A	09/14/1994	Showa Highpolymer		

Examiner  
Signature

/Kuo Liang Peng/

Date  
Considered

10/09/2009

<sup>1</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>2</sup>Applicant's unique citation designation number (optional). <sup>3</sup>See Kinds of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>4</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>5</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>6</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>7</sup>Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH /K P/

PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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<p>Substitute for form 1449/PTO</p> <p><b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b></p> <p><i>(Use as many sheets as necessary)</i></p>				<p><b>Complete if Known</b></p>	
Sheet	2	of	3	Application Number	10/598,650
				Filing Date	09/07/2006
				First Named Inventor	Kurt Stark et al.
				Art Unit	
				Examiner Name	
				Attorney Docket Number	WAS 0802 PUSA

**U. S. PATENT DOCUMENTS**

## FOREIGN PATENT DOCUMENTS

Examiner Signature	/Kuo Liang Peng/	Date Considered	10/09/2009
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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(Use as many sheets as necessary)</i>				Application Number	10/598,650
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				Art Unit	
				Examiner Name	
Sheet	3	of	3	Attorney Docket Number	WAS 0802 PUSA

<b>NON PATENT LITERATURE DOCUMENTS</b>					
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			T <sup>2</sup>
		Patent Abstract of Japan corresponding to JP 05-009248			
		Patent Abstract of Japan corresponding to JP 05-140255			
		Patbase Abstract corresponding to EP 1 308 468 A			

Examiner Signature	/Kuo Liang Peng/	Date Considered	10/09/2009
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.  
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ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /K.P./



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :  
KURT STARK ET AL. :  
Serial Number : Group Art Unit:  
Filed: :  
For: METHOD FOR PRODUCTION OF MIXED POLYMERS CONTAINING  
SILICON :  
:

Information Disclosure Statement

Honorable Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Sir or Madam:

I, Michael Schuderer, associated with the preparation and prosecution of the above-identified application, residing at Thurneyssenstraße 22, 80687 München, Germany, wish to call the attention of the Patent Examiner to the references enumerated on the enclosed PTO Form-1449.

I believe the documents enumerated on the enclosed Form PTO-1449 and attached thereto, are cited in the enclosed application and the corresponding International Search Report and may be material to the examination of the application.

Therefore, it is respectfully requested that the foregoing Information Disclosure Statement be considered by the Examiner and incorporated into the file of this application.

I wish to comment as follows concerning the prior art references enumerated on PTO Form-1449:

US-B2 6,602,949 is already in English language.

US-A 5,686,531 is already in English language.

US-A 5,618,879 is already in English language.

US-A 3,985,824 is already in English language.

US-A 3,758,425 is already in English language.

For JP 05-009248 an English Patent Abstract of Japan is enclosed.

For JP 05140255 an English Patent Abstract of Japan is enclosed.

For EP-A 1308468 an English Patbase Abstract is enclosed.

EP-A 0810243 is already in English language.

EP-A 0771826 is already in English language.

EP-A 0614924 is already in English language.

EP-A 0352339 is already in English language.

Signed this 21 day of September, 2006.



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Dr. Michael Schuderer